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CENTRAL FAX CENTER****DEC 20 2006****DARDI & ASSOCIATES, PLLC****ATTORNEYS AT LAW**Intellectual Property-patents,  
trademarks & copyrightsPeter S. Dardi, Ph.D.  
Curtis B. Herbert, Ph.D.**FACSIMILE COVER SHEET****TOTAL NUMBER OF PAGES BEING SENT: 4**☐ Original documents to follow by mail ☒ No originals will be sent**DATE:** December 20, 2006**TO:** Examiner Vi Nguyen  
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612.746.3006 fax**Application No.:** 10/806,311  
**Applicant:** OGLE**OUR REF.:** 3126.01US03**FROM:** Peter S. Dardi, Ph.D.  
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Attached please find the following for filing in the above-identified application.

- (1) Supplemental Information Disclosure Statement; and
- (2) Form PTO-1449.

Respectfully submitted,

Peter S. Dardi, Ph.D.  
Registration No. 39,650**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. 571-273-8300 on the date shown below.

December 20, 2006  
Date  
Peter S. Dardi

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**DEC 20 2006**

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of:

Attorney Docket No.: 3126.01US03

Ogle

Confirmation No.: 7280

Application No.: 10/806,311

Examiner: Nguyen, V.

Filed: March 22, 2004

Group Art Unit: 3731

For: EMBOLISM PROTECTION DEVICES

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56, and in addition to information disclosed in Applicants' Information Disclosure Statements filed October 26, 2004, November 12, 2004, and July 17, 2006, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The listing of a reference herein is not an admission that the reference is prior art or that the reference is material to patentability.

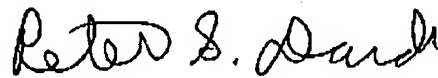
This information is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required.

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Application No. 10/806,311

Copies of the references were cited by or submitted to the Office in Patent Application No. 10/414,909, filed April 16, 2003, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these references are not attached. 37 C.F.R. § 1.98(d).

Respectfully submitted,



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*Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 50-3863.*

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December 20, 2006  
Date

  
Peter S. Dardi, Ph.D.

Substitute for form 1449/PTO						<b>DEC 20 2006</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>						Application Number	10/806.311
						Filing Date	March 22, 2004
						First Named Inventor	Ogle
						Art Unit	3734
						Examiner Name	Nguyen, V.
Sheet	1	of	1			Attorney Docket Number	3126.01US03
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		Number-Kind Code <sup>2 (if known)</sup>					
		US-6,986,778		01-17-2006	Zadno-Azizi		
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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		T <sup>3</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> <i>(if known)</i>					
EXAMINER SIGNATURE				DATE CONSIDERED			
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 501.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 1G if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.  This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.</small>							